PATENT COOPERATION TREATY

Int'l Application No. : PCT/AU00/00680

Int'l Filing Date : 16 June 2000

U.S. Application No. : 09/018,963

Inventor : WU, Weng-Yang et al.

Title : ANTIVIRAL AGENTS

Docket No. : 150070.401USPC

Date : 21 May 2002

Box PCT Assistant Commissioner for Patents Washington, DC 20231-0001

RESPONSE TO NOTICE OF MISSING PARTS

DearSir:

In Response to the Notice of Missing Parts dated 22 March 2002 Applicants enclose the Declaration of the Inventors.

Applicants note the USPTO also requested a copy of the Sequence Listing. No sequence listing was filed or is included with the present application and Applicants respectfully request said requirement be removed.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Karl R. Hermanns

Registration No. 33,507

KRH:peg

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092 (206) 622-4900; Fax: (206) 682-6031 D:\NrPortb\\implimanage\PEGGYM\286508_1.DOC

JC14 Rec CT/PTO 2 1 MAY 2002.

FOR (RE	M PTO-1390 DEPARTMENT OF COMMERCE PATENT . v 11-2000)	AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NO.				
,		·	150070.401USPC				
TRANSMITTAL LETTER TO THE UNITED STATES			U.S. APPLICATION NO. (If known, see37 CFR 1.5)				
 	DESIGNATED/ELECTEI	10/018,963					
<u> </u>	CONCERNING A FILING	<u> </u>					
į.	TERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
	T/AU00/00680	16 June 2000 (16.06.00)	18 June 1999 (18.06.99)				
	TLE OF INVENTION						
	ITIVIRAL AGENTS		·				
	PLICANT(S) FOR DO/EO/US						
	J, Weng-Yang et al.	D : LIFE LANG (DOFFORM)					
Ap	plicant herewith submits to the United States	Designated/Elected Office (DO/EO/US) the	following items and other information:				
1.	☐ This is a FIRST submission of items	concerning a filing under 35 U.S.C. 371.					
2.	☐ This is a SECOND or SUBSEQUE	NT submission of items concerning a filing	g under 35 U.S.C. 371.				
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4.	☐ The US has been elected by the expir	ration of 19 months from the priority date	(Article 31).				
5.	☐ A copy of the International Applicati	on as filed (35 U.S.C. 371(c)(2)).					
	a. is attached hereto (required	only if not communicated by the Internation	onal Bureau).				
	b. has been communicated by	the International Bureau.					
	c. is not required, as the applie	cation was filed in the United States Recei	ving Office (RO/US).				
6.	☐ An English language translation of the	ne International Application as filed (35 U.	S.C. 371(c)(2)).				
	a. is attached hereto						
	b. has been previously submitted	ted under 35 U.S.C. 154(d)(4).					
7.	Amendments to the claims of the Inte	ernational Application under PCT Article	19 (35 U.S.C. 371(c)(3)).				
	a. are attached hereto (require	d only if not communicated by the Internal	tional Bureau).				
	b. have been communicated by	y the International Bureau.					
	c. have not been made; howev	er, the time limit for making such amendm	nents has NOT expired.				
	d. have not been made and wil	Il not be made.					
8.	A translation of the amendments to the	ne claims under PCT Article 19 (35 U.S.C.	. 371(c)(3)).				
9.	An oath or declaration of the invento	r(s) (35 U.S.C. 371(c)(4)).					
10.	A English language translation of the 36 (35 U.S.C. 371(c)(5)).	annexes to the International Preliminary I	Examination Report under PCT Article				
Ite	ns 11 to 20 below concern document(s) o	r information included:					
11.	☐ An Information Disclosure Statement	t under 37 CFR 1.97 and 1.98.					
12.	An assignment document for recording	ng. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.				
13.	☐ A FIRST preliminary amendment.						
14.	☐ A SECOND or SUBSEQUENT preliminary amendment.						
15.	☐ A substitute specification.						
16.	☐ A change of power of attorney and/or address letter.						
17.	☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.						
18.	3. A second copy of the published international application under 35 U.S.C. 154(d)(4)						
19.	9. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20.	20. Other items of information: Election and Power of Attorney; Statement under 37 CFR 3.73(b)						



U.S. APPLICATION NO. (If	known, see 37 CFR 1.5)	INTERNATIO	NAL APPLICAT	ION NO.	AT	TORNEY'S DOCKET NUM	BER	
10/018,963		PCT/AU00/00680		150	150070.401USPC			
21. \(\text{ The following feet}					CALCULATIONS			
Basic National Fee (37 C	FR 1.492(a)(1)-(5)):					PTO USE ONLY		
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO								
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO								
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$710.00								
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00								
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)								
	ENTER APPROPRI	IATE BAS	IC FEE AMO	UNT =	_	\$.00		
	furnishing the oath or declar	ation later th		30 month	ıs	Fee was paid upon		
	priority date (37 CFR 1.492(First Submission		
Claims Total Claims	Number Filed - 20 =	Nun	nber Extra	Rate		\$ 00		
Independent Claims	- 3 =			x \$ 18.00 x \$ 80.00		\$.00 \$.00		
Multiple dependent claim(+ \$270.00		\$.00	· · · · · · · · · · · · · · · · · · ·	
		ABOVE O	CALCULATION			\$.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.								
			SUBTO	TAL =		\$.00		
	for furnishing the English to laimed priority date (37 CFF		er than 20	□ 30 +		\$.00		
TOTAL NATIONAL FEE = \$.00						<u> </u>		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								
Fee for extension of time t Form PCT/SB/22 included	o respond to Notification of I.		` `		a)).	\$40.00		
		TOTAL	FEES ENCLO	OSED =		\$40.00		
						Amount to be refunded:	-	
						charged		
a. 🛛 A check in the an	nount of \$40.00 cover the at	oove fees is e	enclosed.					
b. Please charge my Deposit Account No. in the amount of \$_ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
\mathcal{A}_{i}								
SEND ALL CORRESPONDENCE TO:								
Karl R. Hermanns, Esq.								
Seed Intellectual Property Law Group PLLC Karl R. Hermanns								
701 5 th Avenue, Suite 630	NAME							
Seattle, WA 98104-7092 United States of America 33,507								
(206) 622-4900 REGISTRATION NUMBER								
ABSIOTATION TOTAL								



UNITED STATES PATENT AND TRADEMARK OFFICE

Consulssioner for Patents: B United States Patent and Trademark Washington, D.C.

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/018,963

Wen Yang WU

150070.401USPC

INTERNATIONAL APPLICATION NO. PCT/AU00/00680

I.A. FILING DATE

06/16/2000

PRIORITY DATE

Seed Intellectual Property Law Group RECEIVED 701 5th Avenue Suite 6300 APR 0 1 2002

SEEDINTELLECTUAL PROPERTY LAW GROUP PLACE

CONFIRMATION NO. 6047

371 FORMALITIES LETTER

OC000000007702096

Date Mailed: 03/22/2002

Seattle, WA 98104-7092

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

Missparts May 22,2002

ENTERED IN DOCKET

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/018,963	PCT/AU00/00680	150070.401USPC
10/010,505		

FORM PCT/DO/EO/905 (371 Formalities Notice)